



The Callable Deposit Plan - Issue 13

January 2022

UK Trustee & Corporate Investors (inc. offshore bonds) Application Form

Key Dates:

ISA transfer deadline: 20 December 2021

Cheque application deadline: 27 December 2021

Application deadline: 03 January 2022

Start Level Date: 10 January 2022

Deposit Identifier: GS00SD001043

Can we help you?

If you or your financial adviser needs help completing the form, please contact our Administrator and Custodian, telephone calls may be recorded.

Tel: 01253 831 165

Email: idad.applications@jbrearley.co.uk

Please send completed applications including the required supporting documentation to:

Outsourced Administration Services
James Brearley & Sons Limited
PO Box 34, Unit 2 Burton Road,
Blackpool, Lancashire
FY4 4WX

Please note that we cannot accept your application unless you have either received financial advice or had the appropriateness of this investment assessed by an FCA regulated financial adviser.

Application Checklist: Please follow the instructions below when completing and submitting your application. Please note these are guidelines only and when the application is received more information may be requested for successful account setup.

Requirements for all applications:

Before any business can be accepted an IDAD Terms of Business form must be completed by the financial adviser and submitted to IDAD for approval. (This only needs to be completed the first time a financial adviser submits an application form.)

Application form must be completed in full, filling in all required fields.

Section titled "Financial Adviser Section" must be completed in full by the financial adviser.

Funds must be submitted from an account in the client's own name. Funds received from third party accounts cannot be accepted.

Funds must be sent to the Administrator and Custodian within the respective deadlines set forth above. The amount sent must match the amount in the application.

Additional Requirements for UK Trustees and Corporate Investors applications only: Corporate Investors

Certificate of Incorporation.

Authorised Signatory list (If there is no signatory list available please complete section 7).

FATCA Entity self-certification form to be completed in full (this only needs to be completed the first time the entity invests in an IDAD Plan).

UK Trustees

Trust Deed

Authorised Signatory list (If there is no signatory list available please complete section 7).

FATCA Entity self-certification form to be completed in full (this only needs to be completed the first time the entity invests in an IDAD Plan).

Section 9 – Financial Adviser Section (Financial Adviser use only)

Please ensure you have completed, signed and returned an IDAD Terms of Business.

A copy can be requested from anna.marsh@idad.com

If an IDAD Terms of Business Form has not been completed and approved, we will be unable to process any applications.

Name of adviser:		
Name of company:		
Address:		
Postcode:		
Telephone number:		
Email address:		
Are you a member of a network or directly authorised?	Please tick as appropriate. Network Directly authorised	
If you have selected network, please state which network:		
Your FCA (or equivalent) registration number:		
<p>Appropriateness (For Execution Only applications) Please confirm that you have provided a copy of this Plan’s Key Information Document (KID) and brochure and confirmed the appropriateness of this investment and that you consider this product to be appropriate for your client.</p> <p>Yes No</p>	<p>Suitability (For Advised applications only) Please confirm that you have provided a copy of this Plan’s Key Information Document (KID) and brochure and disclosed the associated risks of this Investment and that you have conducted the required suitability assessment and that you consider this product to be suitable for your client.</p> <p>Yes No</p>	<p>Verification of Identity Please be aware that we have obligations under UK Anti Money Laundering (AML) regulations and reserve the right to request further evidence of identity so as to be able to fulfil these requirements. Depending on the circumstances, where we consider we have not been able to fulfil these obligations, we may decide not to proceed with an application.</p>
Adviser Declaration:	<p>I confirm that all dealings with the investor have been carried out in accordance with the requirements of the FCA Handbook and in accordance with my obligations under IDAD/JBS’ current Terms of Business.</p> <p>I acknowledge my responsibility to evaluate all information on the Plan and confirm that where I have given advice, I have the necessary knowledge and experience to be deemed competent to assess the Plan and its suitability to an applicant’s circumstances and investment objectives.</p> <p>I declare that this application has been completed to the best of my knowledge and belief and I have agreed any adviser charge with the applicant.</p> <p>I confirm that I have carried out the appropriate identity checks on all the parties relevant to this application and have retained copies of the completed Verification of Identity Certificates and supporting documents, which I understand JBS may request at any time and may rely on.</p>	
Signature:		
Date:		



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