

FTSE 100 Kick-Out Plan April 2022

Application Form

UK Trustees and Corporate Investors

Key Dates:

ISA transfer deadline: 22 April 2022

Application form and monies deadline for ISA Subscriptions
2021/22: 5 April 2022

Application form and monies deadline (including Direct
Investment & ISA Subscriptions 2022/23: 6 May 2022

Start Date: 13 May 2022

Application Checklist

Please follow the instructions below when completing and submitting your application. Please note these are guidelines only and when the application is received more information may be requested for successful account setup.

Requirements for all applications:

- Before any business can be accepted a Causeway Securities Terms of Business form must be completed by the financial adviser and submitted to Causeway Securities for approval. (This only needs to be completed the first time a financial adviser submits an application form).
- Application form must be completed in full, filling in all required fields.
- Section titled "Financial Adviser Section" must be completed in full by the financial adviser.
- Funds must be submitted from an account in the client's own name. Funds received from third party accounts cannot be accepted.
- Funds must be sent to the administrator and custodian within the respective deadlines set forth above. The amount sent must match the amount in the application.

Additional Requirements for UK Trustees and Corporate Investors applications only:

Corporate investors

- Certificate of Incorporation.
- Authorised Signatory list (If there is no signatory list available please complete section 7).
- FATCA Entity self-certification form to be completed in full (this only needs to be completed the first time the entity invests in a Causeway Securities Plan).

UK Trustees

- Trust Deed
- Authorised Signatory list (If there is no signatory list available please complete section 7).
- FATCA Trustee self-certification form to be completed in full (this only needs to be completed the first time the trustee invests in a Causeway Securities Plan).

Can we help you?

If you or your financial adviser needs help completing the form, please contact our Administrator and Custodian, telephone calls may be recorded.

T: 01253 831165

E: JBrearley.Outsourced.Admin@jbrearley.co.uk

Please send completed applications including the required supporting documentation to:

Causeway.applications@jbrearley.co.uk

Outsourced Administration Services,
James Brearley & Sons Limited,
PO Box 34, Unit 2 Burton Road,
Blackpool, Lancashire, FY4 4WX

Please note that we cannot accept your application unless you have either received financial advice or had the appropriateness of this investment assessed by an FCA regulated financial adviser.



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Causeway Securities is authorised and regulated by the Financial Conduct Authority: Financial Services Registration Number 749440.